

# FAX RECEIVED

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#### IMPORTANT NOTICE

GROUP 1600

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TO:

Patent Technology Center 1600

**Customer Service Representative** 

Group Art Unit 1634 - Examiner Betty J. Forman

U.S. Patent and Trademark Office

FROM:

Judith A. Sherman (858-450-8436) fol

Stephanie L. Seidman, Reg. No. 33,779

Customer No. 24961

DATE:

January 22, 2003

Our File:

25491-2401G - PAIR/PALM Date Correction

SUBJECT:

REQUEST FOR "File Contents History" DATE CORRECTION

U.S. Patent Application 09/030,571 - Filed 02/24/98

CPA Filed April 04, 2001; PTO Confirmation No. 7542

NO. OF PAGES INCLUDING THIS PAGE: 7

On April 04, 2001 a Continued Prosecution Application was filed via Express Mail in response to the PTO's non-final rejection mailed October 04, 2000 for the subject application. However, the return receipt postcard was date-stamped April 05, 2001 which resulted in all PALM/PAIR entries being recorded as 04/05/01. Attached please find a copy of the CPA Transmittal, Express Mail label, the stamped postcard and Private PAIR "File History Contents" with seven (7) 04-05-01 entries relating to the CPA.

We respectfully request that the Private PAIR and PALM filing date entry for the CPA filed in the subject application be corrected to 04-04-01 for all relative entries.

Thank you for your assistance. If you require any further information, please advise.

SPECIAL INSTRUCTIONS: Please confirm receipt of the attached document by date-stamping the first page as received and faxing it to us or by calling the sender. Thank you.

Please contact the above noted-sender at Tel. No. (858) 450-8400 or Fax No. (858) 587-5960 or 657-0372 if you DO NOT receive all pages.

Heller Ehrman White & McAuliffe LLP 4350 Le Jolla Village Drive, 7th Floor, San Diego, CA 92122-1248 www.hewm.com

San Francisco Silicon Valley Los Angeles San Diego Seattle Portland Anchorage New York Washington D.C. Montgomery Co., MD, Madison WI Hong Kong Singapore Affiliated Offices: Frankfurt Milan Parls Rome

|                  |                 | • .                                 | CO'                | TINUED PROSECU  | TION APPLIC                           | ATION (CPA)                           |                          | ·                            |
|------------------|-----------------|-------------------------------------|--------------------|---|---------------------------------------|---------------------------------------|--------------------------|------------------------------|
| ٨٩٨              | ess to          |                                     |                    |   | Attorney D                            | ocket No.                             | 25491-2                  | 401G                         |
| Audi             |                 | Commissio                           | oner fo            | or Patents  | First Name                            | ed Inventor                           | Cantor                   |                              |
|                  |                 | Washingto                           | n, DC              | 20231   | Express N                             | lail Label No                         | EL6850                   | 76689US                      |
|                  |                 |                                     |                    |   | Group Art                             | Unit                                  | 1656                     |                              |
|                  |                 |                                     |                    |   | Examiner                              |                                       | Houtter                  |                              |
|                  |                 | quest for a                         |                    | X continuation or                                       | لسسا                                  | ional application                     | under 37                 | CFR 1.53(d)                  |
| (con             | tinued          | prosecution appli                   | cation<br>entitled | (CPA)) of prior applicat<br>POSITIONAL SEQUE            | ion number <u>09/</u><br>NCING BY HYE | 030 <u>,571</u> .<br>BRIDIZATION      |                          |                              |
| 1.               | En!             | ter the unentered                   | amend              | I <u>POSITIONAL SEQUE</u><br>Iment previously filed o   | n under 37                            | CFR 1.116 in t                        | he prior no              | nprovisional                 |
| L<br>2. [3       | -               | liminanı amen                       | dmont              | is enclosed.  |                                       |                                       |                          | ļ ·                          |
| 3. [             | Th              |                                     |                    | fewer than all the inver<br>wing inventor(s) named      | itors named in in the prior nor       | the prior applica<br>aprovisional app | tion, 37 Cf<br>lication: | FR 1.53(d)(4).               |
| a                | a               |                                     |                    | be deleted are set fort                                 | _                                     |                                       |                          |                              |
| ا ما             | D. A            | new power of atto                   | mey o              | r authorization of agent                                | (PTO/SB/81) i                         | s enclosed.                           |                          |                              |
|                  | Informa         | ation Disclosure S<br>TPTO-1449     | stateme            | ent (IDS) is enclosed:                                  |                                       |                                       |                          |                              |
| 1                | ь               | Copies of IDS (                     | Citation           |   | NUMBER F                              | VEDA TAL                              | RATE                     | (5) CALCULATIONS             |
| CLA              | IMS             | (1) FOR                             |                    | (2) NUMBER FILED  | (3) NUMBER E                          |                                       | .00 =                    | \$774.00                     |
|                  |                 | TOTAL CLAIMS<br>(37 CFR 1.16(c))    |                    | 63-20 =   |                                       |                                       |                          |                              |
|                  |                 | INDEPENDENT                         | .16(b))            | 10-3 =  | 7                                     | ×\$ <u>80</u>                         | 0.00                     | \$560.00                     |
|                  |                 |                                     |                    | CLAIMS (if applicable) (3                               | 7 CFR 1.16 (d))                       | +\$_                                  |                          | \$0.00                       |
|                  |                 |                                     | <u> Zanki</u>      |   |                                       | BAS                                   | IC FEE                   | 5710.00                      |
|                  |                 |                                     |                    |   | (l                                    | Total of above Ca                     |                          | \$2,044.00                   |
|                  |                 |                                     |                    | Reduction by 50% for filing                             | by small entity                       | Note 37 CFR 1.9.                      |                          | \$1022.00                    |
|                  |                 |                                     |                    |   |                                       |                                       | TOTAL =                  | \$1022.00                    |
| 6.               | Srr             | nall entity status:                 |                    |   |                                       |                                       |                          |                              |
|                  | a. 🔀            | is claimed.                         |                    |   |                                       |                                       | •                        |                              |
| 7.               | b<br>Th         | Is not daimed.<br>e Commissioner is | hereby             | authorized to credit overpaid, this paper is to be con- | ayments or charg                      | e any fees to Dep<br>tion.            | nosit Accoun             | t No. 50-1213. If a Petition |
|                  | for<br>a. X     | Fees required ur                    | s neede            | d, this paper is in the                                 | •                                     |                                       | 100 10                   | N FEWS 17                    |
| 1                | ь. <del>х</del> | Fees required un                    |                    |   |                                       |                                       | ((                       |                              |
|                  | c. 🗷            | Fees required ur                    | nder 37            | CFR 1.18.   |                                       | \$445 00 fo                           | a three-mo               | oth extension of time for    |
| 8.               | XA              | check in the amoun                  | it of \$ <u>1</u>  | 467.00 is enclosed, includ<br>Office Action (37 CFR 1.1 | ing the above let<br>7(a)(3)).        | :5 Brid 5443.00 10                    |                          | •                            |
| 9.               | _               | ther:                               |                    |   |                                       |                                       |                          |                              |
|                  |                 |                                     |                    | 10. NEW CORRESPO  | NDENCE ADDRE                          | SS (if applicable)                    |                          |                              |
| N                | AME             |                                     |                    | nie Seidman   | · · · · · · · · · · · · · · · · · · · | No. Sectors 7th I                     | Sloot                    |                              |
| A                | DDRES           | s                                   | Heller E           | Ehrman White & McAuliffe                                |                                       | California                            | ZIP CODE                 | 92037                        |
| C                | YTI             |                                     | La Jolia           |   | STATE                                 | B58-450-8400                          | FAX                      |                              |
| ٥                | OUNTR           | RY                                  | U.S.A.             | SIGNATURE OF APPLIC                                     | ELEPHONE                              |                                       |                          |                              |
| <u> </u>         |                 |                                     |                    |   | Mar, Arronace                         |                                       |                          |                              |
| <u> </u>         | NAME            |                                     | Step               | hanle Seldman   |                                       | ·                                     |                          | _                            |
| _ <del> </del> ⊢ | SIGNATI         |                                     | 33,7               | 79  |                                       |                                       |                          |                              |
|                  | Attorney        | RATION NO.                          |                    |   | <u> </u>                              |                                       |                          |                              |
| ١,               | \nTE            |                                     | I April            | 4. 2001   | •                                     |                                       |                          |                              |

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|   | 72 | 04-05-2001 | Request for Extension of Time - Granted                        |
|---|----|------------|--|
|   | 2  |            | Workflow - Request for CPA - Begin                             |
| T | 19 | 10-04-2000 | Mail Non-Final Rejection                                       |
|   | 18 | 10-02-2000 | Non-Final Rejection  |
|   | 17 | 06-09-2000 | Miscellaneous Incoming Letter                                  |
|   | 16 | 06-02-2000 | Preliminary Amendment  |
|   | 15 | 10-15-1999 | Information Disclosure Statement (IDS) Filed                   |
|   | 14 | 10-18-1999 | Preliminary Amendment  |
|   | 13 | 05-21-1999 | Miscellaneous Incoming Letter                                  |
|   | 12 | 06-03-1999 | Case Docketed to Examiner in GAU                               |
|   | =  | 05-27-1999 | Communication - Re: Power of Attorney (PTOL-308)               |
|   | 5  | 05-10-1999 | Change in Power of Attorney (May Include Associate POA)        |
|   | 6  | 05-06-1999 | Application Is Now Complete                                    |
|   |    | 11-12-1998 | Preliminary Amendment  |
|   | _  | 07-30-1998 | Change in Power of Attorney (May Include Associate POA)        |
|   | 9  | 09-28-1998 | Case Docketed to Examiner in GAU                               |
|   | 5  | 09-14-1998 | Application Dispatched from OIPE                               |
|   | 4  | 06-08-1998 | Application Is Now Complete                                    |
|   | 3  | 03-27-1998 | Incomplete Application under Rule 53(b) - Filing Date Assigned |
|   | 7  | 03-19-1998 | Application Scanned  |
|   | •  | 03-03-1998 | Initial Exam Team nn   |

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http://pair-direct.uspto.gov/cgi-bin/final/pairsearch.pl

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PATENT APPLICATI

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|             | odices Content Us un Retrieval                | RETRIEVAL                           | 14. de la company de la company 109/030.571 | ioi application number: con a si | Class / Sub-Class: 435/006.000 | I ocation Oppe - INITIAL EXAM PICS SCAN, CPZ- | Mailed Mailed         | Status: Liner Rejection:    |
|-------------|---|-------------------------------------|---|----------------------------------|--------------------------------|---|-----------------------|-----------------------------|
| AND TRADERA | System eBushress News & Alarts Center Notices | T APPLICATION INFORMATION RETRIEVAL |   | Search results                   | 02_24_4998                     | 2001-7-70                                     |                       | Examiner Name FORMAN, BETTY |
| IN PATENTA  | Gaerch Syste                                  | LICATION IN                         |   |                                  | Pitter Polo                    | Application Fitting Date- NE-E- 1550          | Issue Date of Patent: | Examiner Name               |
| SINT        | Index   | r APP                               |   |                                  | :                              | Applic  | nssj                  |                             |

|                           | Publication Review |
|---------------------------|--------------------|
|                           |                    |
| Confirmation Number: 7542 |                    |

Customer Number: 24961 Patent Number:

25491-2401G

Attorney Docket

Examiner Name: FORMAN, BETTY

Group Art Unit: 1634

Earliest Publication No:

Earliest Publication

Other Links

| Gentinulty Data       | File Contents History | Contents Description |
|-----------------------|-----------------------|----------------------|
| Foreign Printity Geni | File Con              |                      |

| L      |            | Tile Contents History                             |
|--------|------------|---|
| Nimber | Date       | Contents Description                              |
| 65     | 01-21-2003 | Mail Final Rejection (PTOL - 326)                 |
| 3      | 01-17-2003 | Final Rejection                                   |
| 23     | 12-19-2002 | Miscellaneous Incoming Letter                     |
| 62     | 12-17-2002 | CRF is Good Technically / Entered into Database   |
| 19     | 12-09-2002 | Date Forwarded to Examiner                        |
| 9      | 11-19-2002 | Response after Non-Final Action                   |
| 69     | 11-06-2002 | Mail Notice of Informal or Non-Responsive Amendme |
| 85     | 10-07-2002 | Terminal Disclaimer Filed                         |
| 27     | 09-27-2002 | Information Disclosure Statement (IDS) Filed      |
| 35     | 10-23-2002 | Date Forwarded to Examiner                        |
| 55.1   | 10-07-2002 | informal or Non-Responsive Amendment after Exami  |
| 92     | 10-07-2002 | Response after Non-Final Action                   |
| 54     | 10-07-2002 | Request for Extension of Time - Granted           |
| 23     | 09-05-2002 | Correspondence Address Change                     |
|        |            |   |

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|  | 52 52 55 55 55 55 55 55 55 55 55 55 55 5 | 06-05-2002 Mail N 06-03-2002 X-Pos 05-27-2002 Non-F 03-20-2002 Comp 03-05-2002 Resp 03-05-2002 Reco 02-20-2002 Petiti 02-20-2002 Requ 02-07-2002 Requ 02-05-2002 Requ 01-04-2002 Requ 01-04-2002 Requ 01-04-2002 Requ 01-04-2002 Requ 01-04-2002 Requ 01-04-2002 Requ 01-04-2001 Requ 01-04-2001 Requ 01-04-2001 Requ 01-04-2001 Cas 08-02-2001 Cor 06-19-2001 Mail | Mail Non-Final Rejection  X-Post-Legal Complete Rejection  Non-Final Rejection  Non-Final Rejection  Non-Final Rejection  Date Forwarded to Examiner  Response to Election / Restriction Filed  Applicant Has Filed a Verified Statement of Small Entity Status in  Compliance with 37 CFR 1.27  Record Petition Decision of Granted to Make Entity Status Small  Petition Entered  Workflow- Request for CPA - Begin  Workflow- Request for CPA - Finish  Case Docketed to Examiner in GAU  Mail Restriction Requirement  Requirement for Restriction / Election  Examiner Inferview Summary Record (PTOL - 413)  Amendment/Argument after Notice of Appeal  Notice of Appeal Filed  Morkflow - Drawings Received at Contractor  Reaminer Interview Summary Record (PTOL - 413)  New or Additional Drawing Filed  Workflow - Drawings Received at Contractor  Case Docketed to Examiner in GAU  Workflow - Drawings Sent to Contractor  Case Docketed to Examiner in GAU  Correspondence Address Change  Mail Final Rejection (PTOL - 326)  Final Rejection  Date Forwarded to Examiner  Mail Express Abandonment (During Examination)  Final Rejection Application - Continuing Prosecution Application - Continuing Prosecution Abandonment (Juring Examination) |
|--|--|---|---|
|--|--|---|---|

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PAIR: Request for re-association and/or re-submission for Customer No. 24961

Sherman, Judith A.

Mary.Small2@USPTO.GOV From:

Friday, December 27, 2002 9:15 AM Sent:

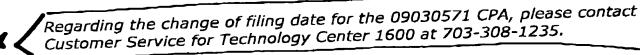
JSherman@HEWM.com To:

dwalker@HEWM.com; SSeidman@HEWM.com Cc:

Subject: RE: PAIR: Request for re-association and/or re-submission for Cus tom er No. 24961

Judy,

Applications 09030571, 09601970, 09887496, 09905405, and 09957194 are all now associated with Customer Number 24961. Please let me know if you are still unable to view any of these in Private PAIR.



Per the direction of Patents management, the EBC no longer processes change of address forms. Please use form PTO/SB/122 for future Customer Number reassociation requests. The Technology Center staff will enter the change in PALM/PAIR, and the paper will be matched to the application file. This way, the correct correspondence address will be available both electronically and with the physical file, significantly reducing the possibility of mailing errors.

Thanks very much for your patience with our ever-changing processes.

Happy holidays!

## Mary

Mary Small

**PALM Project Staff** Office of Patent Automation Search and Information Resources Administration **USPTO** (703) 306-5849

----Original Message-----

From: JSherman@HEWM.com [mailto:JSherman@HEWM.com]

Sent: Thursday, December 26, 2002 7:42 PM

To: mary.small2@uspto.gov

Cc: JSherman@HEWM.com; dwalker@HEWM.com; SSeidman@HEWM.com

Subject: PAIR: Request for re-association and/or re-submission for Custom er No. 24961

#### Dear Mary,

Further to our conversation last week, the following five applications are no longer valid in PAIR under the subject Customer No. and appear to fall into that "override" category created when Change of Address notices are submitted to the PTO.

I have provided a portion of the file history that shows events surrounding or necessitating the Changes of Address transmittals and PAIR status. Again, being unaware of the PTO's procedure, it was not Stephanie Seidman's (Attorney of Record) intent to be identified by the

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